Case 1:04-cv-10949-NMG Document 19 Filed 02/21/2006 Page 5 of 41

EXHIBIT 1

Case 1:04-cv-10949-NMG

Document 19

Filed 02/2 006

Page 6 of 41

CONN KAVANAUGH ROSENTHAL PEISCH & FORD, LLP

COUNSELORS AT LAW

THOMAS E. PEISCH BOB B. ROSENTHAL JAMES F. KAVANAUGH, JR. RUSSELL F. CONN GEORGE M. FORD JAMES B. PELOQUIN BARRY E. GOLD THOMAS J. GALLITANO NEIL R. SCHAUER JAMES GRAY WAGNER ERIN K. HIGGINS STEVEN E GURDIN

MICHAEL T. SULLIVAN

CONSTANCE M. MCGRANE

Ten Post Office Square, Boston, Massachusetts 02109

Tel: (617) 482-8200 Fax: (617) 482-6444

WRITER'S DIRECT DIAL: (617) 348-3214 E-MAIL: CMCGRANE@CKRPF.COM

June 29, 2005

KURT B. FLIBGAUF RONALD M. JACOBS CAROL A. STARKEY JENNIFER M. NORTON SARA L. GOODMAN ELISE S. WALD MICHAEL R. BERNARDO JACOB A. LABOVITZ CARA A. FAUCI **JOHANNA L MATLOFF** AMY C. STEWART BETH NUZZO NEWMARK SARAH E. WEBER

Stephen L. Raymond, Esq. Law Office of Stephen L. Raymond 3 Washington Square Haverhill, MA 01830

Re:

Alice Kieft, v. American Express Company, et al;

C.A. No. 04-10949

Dear Stephen:

Pursuant to our proposed schedule for automatic disclosures, I enclose Defendants' Initial Disclosures Pursuant to Rule 26(A)(1). Please note that the defendants will produce certain documents after we enter into an appropriate confidentiality agreement. If you would like me to forward those documents which are not confidential in the interim, please let me know that.

Very truly yours,

Constance M. McGrane

Constance 7.71 Dear

CMM/gvp:05182-031 Enclosure

James F. Kavanaugh, Jr., Esq.

Case 1:04-cv-10949-NMG Document 19 Filed 02/21/2006 Page 7 of 41

UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

Docket No. 04-10949-NMG

ALICE KIEFT Plaintiff))
v.	.)
AMERICAN EXPRESS COMPANY, ET AL., Defendants)
)

DEFENDANTS' INITIAL DISCLOSURES PURSUANT TO RULE 26(A)(1)

The defendants American Express Company ("American Express"), American Express Company Long Term Disability Plan ("LTD Plan"), and American Express Company Life Insurance Plan ("Life Insurance Plan"), pursuant to Fed. R. Civ. P. 26(a)(1) and Local Rule 26.2(A), make the following initial disclosures. The LTD Plan and Life Insurance Plans are employee welfare benefit plans governed by the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

Plaintiff's claims for benefits due under the LTD Plan and Life Insurance Plan are actions for review on an administrative record. Further, the plaintiff's claims for disability pursuant to the Salary Continuation Benefits policy are also, in essence, an action for review on an administrative record. Accordingly, such claims are exempt from initial disclosure. However, defendants will produce the administrative record as part of its initial disclosure. Finally, the defendants note that certain of the documents contain confidential information, and will seek appropriate safeguards before production.

Case 1:04-cv-10949-NMG Document 19 Filed 02/21/2006 Page 8 of 41

The following disclosures are based on information reasonably available to the defendants or their attorneys as of the date of these disclosures.

I. <u>Disclosure Pursuant to Fed. R. Civ. P. 26(a)(1)(A)</u>

From the information currently available to defendants, the following individuals are likely to have discoverable information that defendants may use to support their claims and defenses in this matter. The information they have is contained in the claim files which will be produced in this action.

NAME	NATURE OF INFORMATION

Jennifer LoPresti Metropolitan Life Insurance Company, (Disability Resource Specialist) Salary Continuation Plan decision

Tanzzy Cooley

Metropolitan Life Insurance Company, (Case Manager) Disability under American Express Salary Continuation

Plan

Renay Bryant

Metropolitan Life Insurance Company (Disability Resource Specialist) Appeal of Salary Continuation decision

Gary P. Greenhood, M.D.

Independent Physician Consultant Review, 6/10/03

Jefrey D. Lieberman, M.D.

Independent Physician Consultant Review, 6/10/03

Coleen A. Lyons, American Express

Company, (Manager, Benefits)

General information about disability claims and policies

at American Express Company

Karen DiProfio, RN

American Express Company; (Director of Nursing Services) Appeal at American Express Company

Stuart Kaplan, M.D. Independent Physician Review, 10/03/03

Steve Raymond, Esq. Information about Appeal of disability claims by Alice

Kieft

II. Disclosure Pursuant to Fed. R. Civ. P. 26(a)(1)(B)

The following is a description by category of documents currently in the possession, custody or control of the defendants, or their attorneys, that they may use to support their defense of this matter.

- Metropolitan Life Insurance Company ("MetLife") documents, including claim file
 (KIEFT00001-00018; KIEFT00019-00053; KIEFT00145-00265; KIEFT00299-KIEFT00362; KIEFT00420-00548);
- 2. American Express documents, including claim file (KIEFT/AMEX00001-00201);
- Correspondence between Alice Kieft or her attorney and MetLife or American Express
 Company (contained in the above two categories of documents; KIEFT/AMEX00450-00463); and
- Plan documents for the LTD Plan, Life Insurance Plan and Salary Continuation Plan, as well as the summary plan descriptions (KIEFT/AMEX00202-00274; KIEFT/AMEX00275-00398).

III. Disclosure Pursuant to Fed. R. Civ. P. 26(a)(1)(D)

1. The defendants will produce the Group Benefits Plan Insurance Policy for Long Term Disability Life and AD&D Insurance, with certain exhibits. (See II 4).

Case 1:04-cv-10949-NMG

Document 19

Filed 02/21/2006

Page 10 of 41

 Administrative Services Agreement between MetLife and American Express for Salary Continuation Benefits. (KIEFT/AMEX 00826 - KIEFT/AMEX 00863).

> DEFENDANTS, By their attorneys,

James F. Kavanaugh, Jr. BBO#262360 Constance M. McGrane BBO#546745

CONN KAVANAUGH ROSENTHAL PEISCH

& FORD, LLP

Ten Post Office Square Boston, MA 02109 617-482-8200

DATED: June 29, 2005

228054.1

CERTIFICATE OF SERVICE

I hereby certify that a true copy of the above document was served upon (each party appearing prose and) the attorney of record for each (other) party by mail (by hand) on $\frac{(-2.7) \circ 5}{(-2.7) \circ 5}$